Strategies to Prevent Severe Retinopathy of Prematurity: A 2020 Update and Meta-analysis

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Practice Gaps

The incidence of retinopathy of prematurity (ROP) and severe ROP continues to be a concern in extremely preterm infants in the United States. It is important to develop strategies to prevent severe ROP based on our understanding of the pathogenesis of ROP and the best available evidence.

Abstract

The incidence of retinopathy of prematurity (ROP) is showing an increasing trend in the United States. This may be because of increasing survival rates among extremely preterm infants (<25 weeks' gestation) and targeting higher oxygen saturation. Five randomized clinical trials of low versus high oxygen saturation target ranges found increased mortality in the low oxygen saturation target group and an increased incidence of ROP in the high oxygen saturation target group. The American Academy of Pediatrics recommends using an oxygen saturation target range of 90% to 95% in extremely low-birthweight infants. The change of practice to target this higher oxygen saturation range, from admission until discharge, may be contributing to the increasing incidence of ROP in extremely preterm infants. To decrease the incidence of ROP without increasing mortality, 2 new cohort trials suggest gradually increasing oxygen saturation targets as preterm infants mature. There is evidence that human milk, vitamin A, and omega-3 fatty acids can help, in addition to continuous oxygen saturation monitoring, to decrease the risk of ROP. We review this literature and provide a meta-analysis to evaluate the evidence.

Objectives After completing this article, readers should be able to:

- 1. Describe the pathogenesis of the 2 phases of retinopathy of prematurity.
- Explain the current evidence regarding oxygen saturation targets that can decrease severe retinopathy without increasing mortality in extremely preterm infants.
- 3. Based on current evidence, summarize therapies and oxygen saturation targets that can help to decrease the risk of retinopathy of prematurity.

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ABBREVIATIONS

aOR	adjusted odds ratio
BEAT-ROP	Bevacizumab Eliminates the
	Angiogenic Threat of
	Retinopathy of Prematurity
BOOST	Benefits of Oxygen Saturation
	Targeting
COT	Canadian Oxygen Trial
DHA	docosahexaenoic acid
EPO	erythropoietin
ET-ROP	Early Treatment for Retinopathy
	of Prematurity
IGF-1	insulinlike growth factor 1
mRNA	messenger RNA
NeOProM	Neonatal Oxygen Prospective
	Meta-analysis
OIR	oxygen-induced retinopathy
PMA	postmenstrual age
RCT	randomized controlled trial
ROP	retinopathy of prematurity
STOP-ROP	Supplemental Therapeutic
	Oxygen for Prethreshold
	Retinopathy of Prematurity
SUPPORT	Surfactant, Positive Pressure,
	and Pulse Oximetry
	Randomized Trial
VEGF	vascular endothelial growth
	factor
VEGFR2	VEGF receptor 2

INTRODUCTION

Retinopathy of prematurity (ROP) is an abnormal development of retinal vessels that occurs in extremely preterm infants. Severe ROP is a leading cause of decreased vision/ blindness in children worldwide. (I)(2) The increased survival of extremely preterm infants, especially with birth gestational ages between 22 and 24 weeks, has increased the population of premature infants at risk for ROP/severe ROP. (3)

INCIDENCE OF ROP/SEVERE ROP

Data from the Neonatal Research Network Centers showed that in the 2008–2012 cohort of extremely preterm infants (22–28 weeks' gestation) the incidence of ROP was 56% for all infants evaluated, whereas the incidence of severe ROP (stage \geq 3) was 12%. (3) However, for infants with a birth gestational age between 22 and 24 weeks, the incidence of ROP and severe ROP was 90% and 43%, respectively. Thus, there was an inverse relationship between the incidence of ROP and gestational age. (3) A cross-sectional study analyzed the incidence of ROP in the United States by querying the Healthcare Cost and Utilization Project Kids' Inpatient Database. The incidence of ROP showed an increasing trend from 14.7% in 2000 to 19.88% in 2012. (4)

Two single-center cohort studies, one in Canada and the other in the United States, also reported an increasing incidence of ROP and severe ROP. (5)(6) The Canadian study showed that the incidence of ROP was 40.4% in the years between 2006 and 2010 and 67.1% between 2010 and 2016; severe ROP increased from 9.2% to 14.3% during these periods. (5) The authors attributed the increased incidence of ROP to the higher number of infants born at less than or equal to 24 weeks' gestation in the later cohort (15.7%) compared with the early cohort (8.7%). In the US study (2010–2015), the incidence of ROP and treated ROP in preterm infants of less than 28 weeks' gestation at birth was high, at 67% and 29.7%, respectively. The incidence of treated ROP (9.9%) was also high in infants born between 28 and 34 weeks' gestation. (6) Thus, the trend shows increasing incidence of ROP and severe ROP at many centers. This trend is concerning because severe ROP can have a negative impact on long-term visual and neurodevelopmental outcomes in extremely premature infants. In addition, there are significant side effects from treatment (laser surgery, vascular endothelial growth factor [VEGF] inhibitors or a combination of the two) of severe ROP.

SEVERE ROP AND LONG-TERM NEURODEVELOPMENTAL OUTCOME

Severe ROP is a predictor of functional disability in multiple domains in childhood (7). Infants with severe ROP, compared with infants without severe ROP, showed significant maturational delay of the optic radiation, posterior limb of internal capsule, external capsule, and posterior white matter that was associated with poorer cognitive and motor outcomes at 18 months' corrected age. (8) After adjusting for perinatal risk factors, Molloy et al reported that children with severe ROP, compared with those without severe ROP, had a significantly lower longitudinal IQ from 2 to 18 years of age. (9)

Studies have compared neurodevelopmental outcomes based on type of treatment for severe ROP: VEGF inhibitor versus laser surgery. In the Morin et al study, infants treated with the VEGF inhibitor bevacizumab had higher odds of severe neurodevelopmental disability (adjusted odds ratio [aOR]=3.1, 95% confidence interval [CI]=1.2-8.4) compared with the infants in the laser therapy group. (10) In the Natarajan et al study, the odds of death (aOR=2.54, 95% CI=1.42-4.55; P=.002) and cognitive score less than 85 (aOR=1.78, 95% CI=1.09-2.91; P=.02) were significantly higher in the infants treated with bevacizumab compared with laser surgery, but the odds of severe neurodevelopmental impairment did not differ between the groups (aOR=1.14, 95% CI=0.76-1.70). (11) Both of these reports were observational studies and there was no information regarding why one mode of treatment was chosen over the other to treat severe ROP. However, in the Bevacizumab Eliminates the Angiogenic Threat of Retinopathy of Prematurity (BEAT-ROP) randomized controlled trial (RCT), which compared VEGF inhibitor treatment to laser therapy to treat severe ROP, no difference was found in neurodevelopmental outcomes in infants treated with bevacizumab versus laser surgery. (12)

ROP AND VISUAL OUTCOMES

Visual Acuity

Premature infants with advanced stages of ROP are at the highest risk for severe visual impairment or blindness. (13) In the Natarajan et al study of preterm infants treated for severe ROP (laser surgery, bevacizumab, or both), 6.3% (23/362) developed blindness, 4.7% (17/362) developed blindness in one eye with some function in at least one eye, and 3.9% (14/362) developed blindness in at least one eye. (11)

Refractive Errors

In the Early Treatment for Retinopathy of Prematurity (ET-ROP) trial, infants with severe ROP who were treated with laser therapy had a higher prevalence of myopia and high myopia (<-8 to -5 diopters [D]) than infants with ROP who experienced spontaneous regression. (14) In the BEAT-ROP RCT, infants treated with bevacizumab had significantly lower rates of myopia, particularly high myopia (zone 1: 3.8% vs 51.4%, zone 2: posterior: 1.7% vs 36.4% in the VEGF inhibitor vs laser ablation groups, respectively) at 2.5 years of age. However, treatment of recurrent ROP resulted in higher rates of high myopia (76.5% in those patients treated with laser vs 25% in patients treated with bevacizumab). (15) The prevalence of high myopia (defined as spherical equivalent ≥ -5 D) was 8% to 35% with bevacizumab, (16) and in a small study (n=37 infants, 72 eyes) high myopia was 0% with the VEGF inhibitor ranibizumab compared with 14.6% with bevacizumab. (17)

In the ET-ROP study, the incidence of strabismus was 44% at 6 years of age. (18)

SIDE EFFECTS OF ROP TREATMENT

Currently 2 treatment options are available for severe ROP: laser therapy and/or VEGF inhibitors. Many side effects occur with both modalities of treatment. Laser therapy increases the risk of loss of peripheral vision, myopia, cataract, and pthisis bulbi (shrunken, nonfunctional eye). (14)(19) A meta-analysis found that laser therapy was associated with a decreased spherical equivalent, a shallower anterior chamber, and an increased incidence of astigmatism and myopia. (20) In addition, there are potential side effects from intubation and anesthesia, which are often needed for laser therapy.

Bevacizumab is a humanized monoclonal antibody to VEGF that is used primarily as a chemotherapy agent with no pharmacologic formulation for premature infants. (21) Bevacizumab can be detected in the serum I to 2 days after ROP treatment and persists in the serum for 2 months. (22). Serum VEGF decreases slowly after laser surgery but falls rapidly after bevacizumab treatment. The serum VEGF levels have been found to be 50% lower in infants treated with bevacizumab compared with laser surgery. (22) After treatment with a VEGF inhibitor, serum VEGF was suppressed for I (ranibizumab) to 8 weeks (bevacizumab). (22)(23)(24) The clinical significance of prolonged low levels of serum VEGF levels, after treatment with a VEGF inhibitor, on the angiogenesis in the lungs, kidneys, and brain of premature infants is unknown. Recurrence can occur after treatment with either laser surgery or VEGF inhibitors. Infants with zone I disease treated with VEGF inhibitors may not develop adequate retinal vessels and are at risk for recurrence and retreatment as late as 55 weeks' postmenstrual age (PMA). (25) Therefore, infants treated with VEGF inhibitors need prolonged follow-up.

IMPORTANCE OF PREVENTING SEVERE ROP

Pathogenesis of ROP

To develop strategies to prevent ROP, it is important to understand a) the normal retinal vascular development in utero, and b) the altered retinal vascular development after premature birth.

Normal Retinal Vascular Development. In the human fetus, the retinal vasculature develops by vasculogenesis (de novo synthesis of blood vessels) and angiogenesis (formation of blood vessels from preexisting vessels). Vasculogenesis starts at approximately 12 weeks' gestation as vascular precursor cells start to grow from the hyaloid artery and migrate toward the periphery to form future retinal arcades and mesenchymal cells aggregate to form vascular cords. Retinal vasculogenesis is complete by 21 to 22 weeks' gestation. Retinal angiogenesis starts at 17 to 18 weeks' gestation and leads to the development of new vessels from existing vessels: perifoveal vessels, peripheral vessels, deep plexus vessels, and the capillary system in the fetal retina. Retinal angiogenesis is complete when superficial and deep retinal vessels reach the ora serrata between 36 and 40 weeks' gestation. (26)

VEGF is necessary for retinal vasculogenesis. The developing retinal tissues have an increased demand for oxygen, which leads to localized hypoxia; in response, the expression of local VEGF is increased. Retinal blood vessels grow by following a wave of physiologic hypoxia in the retina. Vessels grow toward the VEGF stimulus with formation of new vessels and continue to progress toward the adjacent stimulus of VEGF triggered by a distant area of hypoxia (27)(28).

Abnormal Retinal Vascular Development Leading to ROP. In 1954, Ashton et al showed that high oxygen concentration in kittens led to obliteration of growing retinal complexes (vaso-obliteritative phase). Later, when these kittens were exposed to room air, there was profuse growth of vessels into the retina and into the vitreous (vasoproliferative phase). (29) Extremely preterm infants are at risk for a delay/ decrease in physiologic retinal vascular development (phase 1) with subsequent vasoproliferation (phase 2). (30) Two rodent models, the Smith mouse model and the Penn rat model, of oxygen-induced retinopathy (OIR) have been used to study the pathogenesis of ROP. (31) The changes in retinal vasculature in the OIR model resemble changes seen in preterm infants with ROP. In the following section, we describe the evidence for the pathogenesis of ROP based on rodent models of OIR and clinical studies in premature infants.

PHASE 1 ROP

Hyperoxia Causes Reduced/Altered Physiologic Retinal Vascular Development

VEGF facilitates the development of retinal vessels during normal angiogenesis. In the mouse model of OIR, hyperoxia results in reduced VEGF messenger RNA (mRNA) and protein with decreased retinal vessel growth; exogenous VEGF has been found to stop this vaso-obliteration. (32)

Erythropoietin (EPO) is expressed locally during retinal hypoxia and has been shown to be an important angiogenic factor. (33) In the OIR mouse model, EPO protein and receptor are present in retinal vessels and the EPO mRNA expression is reduced with hyperoxia. (34) By increasing the local expression of EPO, retinal vaso-obliteration was prevented. (34)

Human preterm birth leads to an immediate increase in oxygen tension (an increase from the intrauterine partial pressure of oxygen of 50 mm Hg to the ambient air postdelivery partial pressure of oxygen of 160 mm Hg, which is even higher with supplemental oxygen). Increased oxygen tension in the retina can lead to suppression of retinal VEGF and EPO, as seen in the animal models of OIR, leading to arrest/decrease of retinal vessel growth.

Normal retinal vessel development is decreased in insulinlike growth factor I (IGF-I) knockout mice, and low IGF-I suppresses VEGF survival signaling in the retinal endothelial cells. (35) Low IGF-I levels or lack of IGF-I, in the mouse model, prevents normal retinal vessel development despite the presence of normal levels of VEGF. (35) In many premature infants, serum IGF-I levels fall shortly after birth. (36)

In the OIR mouse model, an increase in dietary omega-3 fatty acids leads to an increase in retinal omega-3, increased retinal vessel regrowth, and decreased avascular retina in phase I ROP. (37) Extremely preterm infants have lower serum omega-3 fatty acid levels, which may contribute to phase I ROP. (38)

Thus, retinal hyperoxia leads to a decrease in retinal VEGF and EPO, and a decreased amount of growth factors (IGF-I and omega-3 fatty acid) in the serum increases the risk of phase I ROP.

PHASE 2 ROP

Hypoxia from an Avascular Peripheral Retina Causes Vasoproliferation (ie, Neovascularization)

The metabolic activity in the retina increases as preterm infants mature. However, infants with phase 1 ROP are at risk for retinal hypoxia because of the avascular peripheral retina. These infants can progress to phase 2 ROP starting at 30 to 32 weeks' PMA, with peak phase 2 ROP occurring at approximately 36 to 38 weeks' PMA. (39) In very immature infants born at less than or equal to 24 weeks' gestation, stage 3 ROP may develop by 31 weeks' PMA. (40)

In the OIR rodent model, exposure to high or fluctuating amounts of supplemental oxygen led to vaso-obliteration of retinal vessels (phase 1 ROP, hypoxic inner retina) and subsequent exposure to room air led to elevation of VEGF mRNA and protein levels, resulting in neovascularization (phase 2 ROP). (41)(42) In a murine model, the inhibition of VEGF by VEGF-receptor chimeric proteins decreased neovascularization by 56%. (43) In the rat OIR model, subretinal injections of lentiviral vectors with endothelial cell-specific VEGF receptor 2 (VEGFR2) short hairpin RNA inhibited vasoproliferation and improved physiologic retinal vascular development. Excessive stimulation of VEGFR2 from hypoxia and disruption of endothelial cell function in the retina may be an important mechanism for abnormal physiologic vascular development and severe ROP found in premature infants. (44)

In addition to elevated VEGF, increased levels of retinal EPO may play a role in the pathogenesis of phase 2 ROP. EPO (in addition to VEGF) was significantly elevated in the vitreous of eyes of preterm infants with ROP compared with infants without ROP. (45) Based on this evidence, it may be prudent to avoid EPO in patients with phase 2 ROP.

In the mouse OIR model, a diet rich in omega-3 fatty acids led to decreased neovascularization in phase 2 ROP because of a decrease in retinal tumor necrosis factor α levels. (37) In addition, omega-3 fatty acids have a direct inhibitory effect on neovascularization via the peroxisome proliferator-activated receptor γ pathway. This study found a reduction in neovascularization by 40% without altering the normal growth of retinal vessels; this decreased neovascularization was independent of the VEGF pathway. (46)

STRATEGIES TO DECREASE ROP

Several strategies have been shown to decrease ROP and severe ROP (Fig I). In this section, we provide the



PMA = Post Menstrual Age VEGF = Vascular Endothelial Growth Factor EPO = Erythropoietin

Figure 1. Phase 1 retinopathy of prematurity (ROP). There is risk of exposure to retinal hyperoxia and significant decrease in the retinal levels of vascular endothelial growth factor (VEGF) and erythropoietin (EPO; compared to in utero levels) after preterm birth. Retinal levels of nutrients such as omega-3 fatty acids also fall after preterm birth. These factors may delay the retinal vascular development in a significant number of extremely premature infants born between 22 0/7 and 27 6/7 weeks' gestation. Interventions suggested in A can improve the chances of normal retinal vascular development (no ROP). However, many extremely preterm infants may develop ROP (delayed development of retinal vascular development) by 30 to 32 weeks' postmenstrual age (PMA) despite these interventions. B. Phase 2 ROP. In premature infants with delayed development of retinal vascular development (phase 1 ROP), there is a risk of retinal hypoxia leading to significant increase in retinal levels of VEGF and EPO at or after 30 to 32 weeks' PMA. Interventions suggested in B can improve the chances of regression of ROP and allow for normal retinal vascular development. Some extremely preterm infants may progress to neovascularization (severe ROP), despite the suggested interventions, and may need treatment (laser or VEGF inhibitor or both).

background, summarize the results of our meta-analysis, and discuss the evidence for each strategy.

Supplemental Oxygen and Oxygen Saturation Target Ranges and ROP

Background. Limiting supplemental oxygen based on an oxygen saturation target range is by far the most important

factor (via the VEGF pathway), *but not the only factor*, to decrease ROP. An abbreviated history of monitoring oxygen therapy is described here; other reviews provide more details. (47)

In 1951, Campbell discovered that "intensive" oxygen therapy was a cause for retrolental fibroplasia (previous term for ROP). (48) A subsequent multicenter cooperative study in preterm infants (birthweight $\leq 1,500$ g, N=786) found that a higher concentration of supplemental oxygen caused significantly more ROP compared with restricting supplemental oxygen to 40% or less. The mortality was 10% higher in the oxygen-restricted group. (49) As a result, the clinical practice changed to restricting oxygen supplementation to 40% and may have contributed to an increase in mortality in low birthweight infants (1,000–1,499 g) from 42% in the 1944–1948 cohort to 58% in the 1954–1958 cohort. (50) A study by Flynn et al in 1992 showed that the duration of having a transcutaneous oxygen tension of 80 mm Hg or higher in the first 4 weeks after birth was associated with an increased incidence of ROP/severe ROP in preterm infants weighing less than or equal to 1,300 g. (51)

The Supplemental Therapeutic Oxygen for Prethreshold Retinopathy of Prematurity (STOP-ROP) trial (52) included preterm infants with confirmed prethreshold ROP in at least I eye and oxygen saturation less than 94% who were randomized to an oxygen saturation range of either 89% to 94% (conventional oxygen arm) or 96% to 99% (supplemental oxygen arm). The primary outcome was progression to threshold ROP needing treatment (laser or cryotherapy). The study saturation targets were implemented for a minimum of 2 weeks. The preterm infants in this study were born at 25.4 \pm 1.5 weeks' gestation but were not included in the study until 35.4 \pm 2.5 weeks' PMA when phase 2 ROP was already well-established.

There was no significant difference in the number of infants who progressed to threshold ROP (48.5% vs 40.9%). Subgroup analysis showed that infants without plus disease at randomization progressed to the threshold significantly less frequently in the supplemental oxygen arm (32%) compared with the conventional oxygen arm (46%). In addition, subjects with zone II ROP progressed less frequently with supplemental oxygen (37%) compared with the conventional oxygen group (46%). Oxygen requirement increased by 5% to 9% after randomization to the supplemental oxygen group, and at 50 weeks' PMA, significantly more infants in the supplemental oxygen group than in the conventional oxygen group continued to need supplemental oxygen (46.8% vs 37%, P=.002).

In the Surfactant, Positive Pressure, and Pulse Oximetry Randomized Trial (SUPPORT) study (53), premature infants (born at 24 weeks to 27 weeks and 6 days' gestational age) were randomized to either a low oxygen saturation range (85%–89%) or a high oxygen saturation range (91%–95%). The oxygen saturation targets were started shortly after birth and continued until discharge (or death). The primary outcome was a composite of severe ROP (threshold ROP, need for treatment), death before discharge, or both. The composite primary outcome was similar between the groups. Although an increased incidence of severe ROP was observed in the higher oxygen saturation group (17.9% vs 8.6%), the infants with a low oxygen saturation range (85%–89%) compared with a high oxygen saturation range (91%–95%) had an increased mortality (19.9% vs 16.2%).

In a study of premature infants of less than 29 weeks' gestation, the partial pressure of oxygen in arterial blood was significantly lower with an oxygen saturation range of 85% to 89% compared with an oxygen saturation range of 90% to 95% ($\sim 28.5-53.6$ vs $\sim 34.5-66$ mm Hg, respectively). (54)

The SUPPORT study was 1 of 5 randomized trials with similar protocols. The others were the Benefits of Oxygen Saturation Targeting (BOOST) II trials in the United Kingdom (UK), Australia, and New Zealand (55) and the Canadian Oxygen Trial (COT) (56). In these trials, the subjects were of less than 28 weeks' gestation, and the primary outcome was to evaluate the effects of oxygen saturation target ranges of 85% to 89% compared with 91% to 95% on survival and neurodevelopmental outcomes at 18 to 24 months of age. Halfway through the study, the calibration algorithm in the study pulse oximeters were changed in the UK and Australia, and it is not known if this change had any impact on the results. The recruitment to BOOST II in the UK and Australia was closed prematurely when interim analysis showed that infants in the low oxygen saturation group (85%–89%) had a higher mortality at 36 weeks' PMA (21.8%) compared with those in the high oxygen saturation group (91%-95%) with a mortality of 13.3%.

The data from 4,965 infants enrolled in the aforementioned 5 trials were combined as a part of the Neonatal Oxygen Prospective Meta-analysis (NeOProM) collaboration. A meta-analysis (57) showed that the infants in the lower oxygen saturation group (85%–89%), compared with those in the higher oxygen saturation group (91%–95%), had a significantly increased incidence of death at 18 to 24 months' corrected age (19.9%, 484/2,433 vs 17.1%, 418/2,440, risk ratio [RR]=1.16) and a decreased incidence of severe ROP/ROP requiring treatment (10.6%, 214/2,022 vs 14.8%, 305/2,067, RR=0.72).

Meta-analysis of Oxygen Saturation and ROP (Fig 2, Fig 3). Results from our meta-analysis of trials of oxygen saturation monitoring and ROP (52)(53)(55)(56) showed a significant increased risk of death (Fig 2) in the lower oxygen saturation group (85%–89%) compared with the higher oxygen saturation group (91%–95%): RR=I.I6, 95% CI=I.03–I.3I, *P*=.02; risk difference (RD)=0.03, 95% CI=0.00–0.05. The risk for severe ROP (Fig 3) was significantly reduced in infants in the lower oxygen saturation



^aDeath before hospital discharge.

^bDeath before discharge.

^cOxygen levels: 96-99% vs 89-95%; all deaths at 3 months' corrected age.

^dNot estimable if interventions differed, populations differed, events were not directly reported in article, or if conflicting data reported in article. ^eDeath before discharge.

group compared with the higher oxygen saturation group: RR=0.73, 95% CI=0.62–0.87, P<.001; RD=–0.04, 95% CI=–0.06 to –0.02.

Discussion of Oxygen Saturation Monitoring and ROP. The rationale for choosing the oxygen saturation ranges in the 5 aforementioned clinical trials (NeOProM) is not clear. The static oxygen saturation targets (both low and high) do not mirror the pathogenesis of ROP. Specifically, the lower oxygen target range (85%–89%) could potentially decrease the risk of retinal hyperoxia in phase I ROP but would increase the risk of retinal hypoxia in phase 2 ROP. However, the higher oxygen saturation range (91%–95%) could significantly increase the risk of retinal hyperoxia, especially in premature infants born before 25 weeks' gestation, leading to a larger avascular peripheral retinal region (phase I ROP) and increased risk of neovascularization of the retina (phase 2 ROP). This pathophysiology may have contributed to the increased incidence of severe ROP in the higher oxygen saturation group.

The American Academy of Pediatrics Committee on Fetus and Newborn recommends a target oxygen saturation range of 90% to 95% in extremely low-birthweight infants "as it may be safer than 85%–89%." (58) Many centers in the United States and other countries have adopted this recommendation. A study from Australia found an increased incidence of ROP and severe ROP after changing the oxygen saturation target range from 88%–92% to 91%–95%. (59) A US study also found an increased incidence of any ROP,





^bOxygen saturation 89-95% vs. 96-99%.

^cNot estimable if interventions differed, populations differed, events were not directly reported in article, or if conflicting data reported in article.

type I ROP, and treated ROP after changing from a biphasic target (85%–92% until 34 weeks' PMA and >94% at >34 weeks' PMA) to a static 90% to 95% oxygen saturation target range (independent of gestation at birth and PMA). (60)

There is evidence (from single-center retrospective trials and the NeOProM trials) that targeting a constant oxygen saturation range of 90% to 95% increases the risk of ROP and severe ROP. Thus, the question remains: "Which oxygen saturation target ranges would decrease ROP AND not increase mortality in extremely premature infants?" The answer may be found in 2 recent retrospective cohort studies that showed a significant decrease in the incidence of severe ROP, without an increase in mortality. (61)(62) Both studies showed that *gradually* increasing oxygen saturation targets prevents early hyperoxia, and later retinal hypoxia, when compared with static oxygen saturation targets.

In the Cayabyab et al study (61), oxygen saturation targets were gradually increased to mimic the increasing oxygen delivery and oxygen consumption ratios similar to fetal life. The incidence of ROP/severe ROP in extremely premature infants (24-27 weeks' gestation) from 2 periods was compared. In the 1995-2001 infant group (group 1), the oxygen saturation target ranges were 90% to 94% from birth to 35 weeks' PMA and greater than 94% starting at 36 weeks' PMA. In the 2003-2010 infant group (group 2), the oxygen saturation target ranges were 83% to 89% from birth to 32 weeks' PMA, 90% to 94% from 33 weeks to 35 weeks' PMA, and greater than 94% at 36 weeks' PMA ("graded oxygen saturation targets"). There was no significant difference in mortality between the 2 groups. However, there was a significant decrease in the incidence of severe ROP (48.3% in group I vs 21.3% in group 2) and the need for laser treatment (34.9% in group 1 vs 19.7% in group 2). Thus, the targeting graded oxygen saturation was deemed superior in this study.

In the Colaizy et al study (62), oxygen saturation alarm limits were set at 80% to 93%, with an oxygen saturation target range of 84% to 93% for infants of less than or equal to 26 weeks' PMA; alarm limits were 80% to 95% with a target of 86% to 94% for infants of 27 to 31 weeks' PMA; and alarm limits were 85% to 98% with an oxygen saturation target range of 90% to 95% at greater than 32 weeks' PMA. In epoch I (2002–2007), the oxygen saturation targets were not changed if infants were diagnosed with prethreshold ROP. In epoch 2 (2008-2012), in those infants who developed stage 2 ROP and were receiving low-flow nasal cannula oxygen (<1 L/min), the oxygen saturation targets were increased to greater than 94% (with alarm limits of 90%-100%). For infants receiving high-flow nasal cannula, mechanical ventilation, or nasal continuous positive airway pressure, the oxygen saturation target was also greater than

94% (alarm limits of 90%–100%) as long as the FiO_2 did not exceed 0.5. In both situations, the supplemental oxygen was not weaned below an effective oxygen level of 35% to 40% (floor) until the ROP had improved. There was a significant decrease in the progression beyond stage 2 during epoch 2 (23%) compared with epoch 1 (44%). The incidence of plus disease also decreased in epoch 2 (12.6%) compared with epoch 1 (18.9%). The rationale for the oxygen saturation targets used in this study was to decrease retinal hyperoxia and allow the physiologic development of retinal vessels to occur (birth to 31 weeks' PMA). After 32 weeks' PMA, higher oxygen saturations were targeted to decrease the risk of hypoxia and prevent the surge of VEGF in phase 2 ROP. Using the oxygen saturation targets from epoch 2, the overall survival was 90% among premature infants born at 23 to 27 weeks' gestation in 2018, whereas the incidence of severe ROP, laser therapy, and necrotizing enterocolitis was 1.6%, 0.8%, and 1.4%, respectively. (J. Klein, MD, personal communication, 2019)

It is known that infants with fluctuating oxygen saturations have an increased risk of severe ROP. (63) Attempts have been made to decrease these fluctuations using an automated oxygen control system (closed loop system) compared with a manual control system. A recent study of the closed loop system showed that preterm infants spent more time in the target range (manual vs automated: 48.4% vs 61.9%) with a significant reduction in time spent greater than 95% (hyperoxia), (41.9% vs 19.3%) but the time spent less than 90% increased (8.6% vs 15.1%). (64) Cerebral nearinfrared spectroscopy can be used to improve oxygen monitoring. (65) These new technologies need further study.

Human Milk and ROP

Background. Human milk, especially preterm milk, is rich in a number of bioactive factors. The bioactive factors that are available in preterm human milk that may help to prevent ROP include exogenous (carotenoids, retinol, and α - and γ tocopherol) and endogenous (superoxide dismutase, glutathione peroxidase, catalase, and glutathione) antioxidants and growth factors (VEGF and IGF-I). (66) A few observational/cohort studies have investigated whether feeding human milk can decrease the risk of ROP/severe ROP and results have been conflicting. (67)(68)(69)(70)(71)(72)(73)(74) In these trials, the percentage of human milk that infants received varied significantly. Three meta-analyses of these clinical studies have been published, (75)(76)(77) and the conclusions are conflicting: human milk feeding (5 studies) potentially decreased the risk for ROP/severe ROP (75); human milk significantly decreased the risk of ROP and severe ROP (76); and no reduction of ROP, but a significant decrease in severe ROP (2 studies) was found with increased human milk exposure. (77)

Human milk	Mainly human milk		Mainly formula			OR	
Retinopathy of Prematurity	Events	Total	Events	Total	% Weight	M-H (95% CI)	RR, Fixed, 95% CI
Furman et al (68) ^a	14	32	35	69	17.8	0.76 (0.33, 1.76)	:+
Hylander et al(67) ^b	0	45	11	90	10.9	0.08 (0.00, 1.32)	
Maayan-Metzger et al (71) °	3	50	7	36	10.9	0.26 (0.06. 1.10)	
Manzoni et al (72) ^d	4	314	22	184	39.1	0.10 (0.03, 0.28)	
Spiegler et al (74) e	7	203	16	220	21.2	0.49 (0.18, 1.13)	
Total (95% CI)	28	644	91	599	100.0	0.31 (0.19, 0.49)	
							0.01 0.1 1 10
							Favors human milk Favors formula

Figure 4. Meta-analysis of human milk and odds of any ROP. Heterogeneity: $\chi^2 = 10.60$, df=4 (P=.03); l²=62%. Test for overall effect: Z=4.95 (P<.001). Statistical method: Mantel-Haenszel, Fixed Effect model. Interpretation: A total of 1,243 infants, from 5 observational studies, were included in the metaanalysis to compare type of milk received. The odds of any ROP was significantly reduced when infants were fed human milk versus formula (OR=0.31, 95% Cl=0.19-0.49, P<.001; RD=-0.011, 95% Cl=-0.14 to -0.08); heterogeneity was significant (P=.03, l²=62%), indicating substantial inconsistent effect sizes among these studies. Using risk ratios produced similar results. These inconsistencies may be accounted for by differences in milk feeding types, exclusive milk type vs not exclusive, enhanced human milk vs not enhanced; by differences in measures for retinopathy, any ROP vs severe ROP; and by bias associated with the study design. Cl=confidence interval; M-H=Mantel-Haenszel (fixed effect); OR=odds ratio; ROP=retinopathy of prematurity. ^aAny ROP; very low birthweight; daily volume of maternal milk ≥50mL/kg vs <25 mL/kg.

^bSevere ROP, stage 3 and 4; very low birthweight; 80–100% human milk vs <20% human milk and formula.

^cSevere ROP, stage 3; gestational age 24–28 weeks.

^dSevere ROP, stage 3 (threshold ROP).

^eSevere ROP, stage 3; exclusive breastmilk vs exclusive formula.

Meta-analysis of Human Milk and ROP Studies (Fig 4). Our meta-analysis (Fig 4, 5 studies) showed that the odds of any ROP was significantly reduced when extremely premature infants were fed human milk compared with formula (odds ratio [OR]=0.3I, 95% CI=0.19–0.49, *P*<.001; RD=-0.011, 95% CI=-0.14 to -0.08). (67)(68)(71)(72)(74)

Discussion of Human Milk and ROP. A US study from 2017 showed that nearly 71% of extremely preterm infants received human milk from their mothers. (78) At many centers, donor human milk is used when the mother is not able to provide milk. Schanler et al found the incidence of severe ROP (stage 3) to be 5.6% in preterm infants of less than 30 weeks' gestation who were fed mother's milk

compared with 19% who were fed donor human milk and 14% in those fed preterm formula. (79) In this study, the incidence of necrotizing enterocolitis was the primary outcome measure. A case control study of very low-birthweight infants found no difference in the incidence of ROP when infants were fed mother's milk compared with donor human milk. (80)

Omega-3 Fatty Acids and ROP

Background. Docosahexaenoic acid (DHA, omega-3 fatty acid) and arachidonic acid (omega-6 fatty acid) are essential fatty acids that are transferred to the fetus in the third trimester. Extremely preterm infants are DHA-deficient at birth, which worsens by I month of age; the most immature infants are at risk for the largest deficiency. (81) Omega-3





Figure 5. Meta-analysis of omega-3 fatty acids and risk of severe ROP. Heterogeneity: χ^2 =4.63, df=2 (*P*=.10); l²=56.77%. Test for overall effect: Z=1.06 (*P*=.29). Statistical method: Mantel-Haenszel, Fixed Effect model. Interpretation: Three studies, including 288 infants, were evaluated for the association between omega-3 fatty acid and severe ROP. Three studies were omitted in the final meta-analysis because either the number of events (ROP) was not reported or the interventions differed (see "Not estimable" in the table). Results showed a reduced risk of severe ROP in the fish oil group, although this was not significant (RR=0.79, 95% Cl=0.52–1.21, *P*=.29). Although test results for heterogeneity were not significant (*P*=.10, l²=57%), substantial inconsistency of effect sizes was found among studies. Note that data were sparse, especially for Beken et al. study (88), and most sample sizes were small. Cl=confidence interval; M-H=Mantel-Haenszel (fixed effect); ROP=retinopathy of prematurity; RR=risk ratio.

^aSevere ROP stage 3 plus; fish-oil vs soybean oil.

^bSevere ROP stage 3; DHA vs sunflower oil; conflicting event counts.

^cNot estimable if interventions differed, populations differed, events were not directly reported in article, or if conflicting data reported in article. ^dSevere ROP grade III; Omega-3 fatty acids with breast milk vs sterile water.

eSevere ROP stage 3; 31 out of 90 infants; event counts per group not reported.

^fSevere ROP stage 3 or treated for ROP; a 4-oil lipid emulsion vs refined olive + soybean oil.

⁹ROP requiring laser therapy; titrated dosage: an omega-3 fatty acid emulsion + olive/soybean oil vs olive/soybean oil alone.



Figure 6. Meta-analysis of vitamin A and odds of any ROP. Heterogeneity: $\chi^2 = 10.15$, df=3 (P=.02); $l^2 = 70.43\%$. Test for overall effect: Z=4.41 (P <.01). Statistical method: Mantel-Haenszel, Fixed Effect model. Interpretation: A total of 463 infants, from 4 observational studies, were included in the metaanalysis to compare the association between vitamin A and any/severe ROP. The odds of any ROP was significantly reduced when infants received vitamin A supplementation (OR=0.27, 95% CI=0.15-0.48, P<.001; RD=-0.015, 95% CI=-0.22 to -0.09); heterogeneity was significant (P=.02, I²=70%), indicating substantial inconsistent effect sizes among these studies. These inconsistencies may be accounted for by the differences in vitamin A dose or by differences in measures for retinopathy, any ROP vs severe ROP, or a result of bias inherit in the study design. CI=confidence interval; M-H=Mantel-Haenszel (fixed effect); OR=odds ratio; ROP=retinopathy of prematurity.

^aAny retinopathy; 10,000 units vs standard 5,000 units. ^bROP requiring laser therapy; intramuscular injection.

^cAny retinopathy.

^dROP includes both type 1 and 2.

fatty acids can be delivered to extremely premature infants via parenteral lipid emulsion or an enteral route.

Parenteral lipid emulsions have different polyunsaturated fatty acids; omega-6 fatty acids in soybean lipid emulsion and omega-3 and omega-6 fatty acids in fish oil lipid emulsion. Observational studies, RCTs, and meta-analyses are now available comparing the efficacy of fish-oil lipid emulsion with soybean lipid emulsion to decrease the incidence of severe ROP. (82)(83)(84)(85)(86)(87)(88)(89) The meta-analysis by Vayalthrikkovil et al (6 studies, 4 RCT, 1 prospective clinical trial, and I retrospective observational trial in premature infants <32 weeks' gestation/birthweight <1,500 g with ROP as the primary outcome) (89) concluded that use of fish-oil lipid emulsion was associated with a significant reduction in severe ROP/ROP needing treatment. However, the meta-analysis by Fang et al concluded that there was no impact on any stage ROP or severe ROP with the use of fish oil-based lipid emulsion. (77)

Meta-analysis of Omega-3 Fatty Acids Supplements and ROP (Fig 5). Our meta-analysis (Fig 5, 3 studies) showed a nonsignificant risk reduction of severe ROP in the fish-oil lipid emulsion group (RR=0.79, 95% CI=0.52–1.21, P=.29). (83)(84)(85)(86)(87)(88)

Discussion of Omega-3 Fatty Acids and ROP. Supplementation of lactating mothers with sufficient DHA (1,000 mg) led to an increased concentration of DHA in breast milk and consumption of this DHA-enriched breast milk decreased inflammation markers in extremely premature infants. (90) Whether supplementing lactating mothers with 1,000 mg of



Figure 7. Meta-analysis of vitamin E and risk of any ROP. Heterogeneity: χ^2 =1.20, df=3 (P=.59); I²=0.00%. Test for overall effect: Z=3.21 (P<.01). Statistical method: Mantel-Haenszel, Fixed Effect model. Interpretation: The association of vitamin E and any/severe ROP was evaluated for 1,042 infants from 4 clinical trials. Previous systematic reviews included 2 other studies, shown as "Not estimable", because these articles did not report the number of events for ROP, or the outcome did include ROP. Results of the meta-analysis showed the risk of any/severe ROP was significantly reduced when infants received vitamin E (RR=0.30, 95% CI=0.14-0.62, P=.001; RD=-0.04, 95% CI=-0.07 to -0.02). An evaluation of heterogeneity was not significant (P=.75, l^2 =0%), indicating findings from these studies are consistent with overlapping confidence intervals in the forest plot. Cl=confidence interval; M-H=Mantel-Haenszel (fixed effect); ROP=retinopathy of prematurity; RR=risk ratio.

^aSevere ROP stage III; no events reported.

^bNot estimable if interventions differed, populations differed, events were not directly reported in article, or if conflicting data reported in article. ^cAny retrolental fibroplasia; treatment titrated to 100 units.

^dRetrolental fibroplasia grade III; treatment 100 mg/kg (not titrated).

^eSevere ROP grade III; 1500 g birthweight or less.

^fSevere ROP stage 3+; 8 of 9 in control group also received vitamin E in the late stage.

⁹Oral vitamin E for prevention of anemia; unreported events in article.

DHA can decrease the incidence of severe ROP needs further study.

Vitamin A Supplementation and ROP

Background. Vitamin A may have a role in the prevention of ROP. (91)(92)(93)(94)(95)(96) In a rat model of OIR, supplementation with retinoids inhibited VEGF expression and decreased neovascularization. (97) A meta-analysis of clinical trials of vitamin A supplementation showed a 33% decrease in any ROP with no effect on the incidence of severe ROP. (77)

Meta-analysis of Vitamin A and ROP (Fig 6). Our metaanalysis (Fig 6, 4 studies) showed that the odds of any ROP was significantly reduced when infants received vitamin A supplementation (OR=0.27, 95% CI=0.15– 0.48, *P*<.001; RD=-0.015, 95% CI=-0.22 to -0.09). (91)(92)(95)(96)

Discussion of Vitamin A and ROP. Vitamin A supplementation, in addition to improving retinal function in premature infants (96), may decrease the incidence of ROP.

Vitamin E Supplementation and ROP

Background. Premature infants exposed to supplemental oxygen are at risk of abnormal retinal vessel development mediated by oxygen free radicals. Vitamin E, an essential fatsoluble vitamin obtained from the diet, is known to neutralize free radicals and reduce oxidative stress. A study in albino rats using the OIR model showed that vitamin E water-soluble analog, when compared to a vehicle, significantly decreased the area of avascular retina and increased capillary density. (98)

In the 1980s, clinical trials investigated the efficacy and safety of vitamin E as prophylaxis and treatment of ROP. (99)(100)(101)(102)(103)(104) Two meta-analyses found that the use of vitamin E did not reduce the incidence of ROP but significantly reduced the incidence of severe ROP (\geq stage 3). (105)(106) In a third meta-analysis that only included the RCTs, vitamin E supplementation did not significantly reduce the risk of severe ROP. (77) One study found an increased risk of necrotizing enterocolitis and sepsis with vitamin E supplementation if the serum concentration of vitamin E exceeded the physiologic range. (100)

Meta-analysis of Vitamin E and ROP (Fig 7). Our metaanalysis (Fig 7, 4 studies) showed that the risk of any/severe ROP was significantly reduced with vitamin E (RR=0.30, 95% CI=0.14-0.62, *P*=.001; RD=-0.04, 95% CI=-0.07 to 0.02). (96)(97)(98)(99)(100)(101)

Discussion of Vitamin E and ROP. Vitamin E is low in the breast milk of mothers who smoke and in donor breast milk. (107)(108) In view of increased risk of necrotizing enterocolitis, selective vitamin E supplementation may be considered for infants of greater than 34 weeks' PMA with phase 2 ROP.

Strategies to Reduce ROP that DO NOT Work

IGF-I and ROP. Animal and clinical studies have provided evidence that IGF-I supplementation could reduce severe ROP. However, a phase 2 randomized study of recombinant human IGF-I complexed with binding protein 3 did not show any significant decrease in severe ROP in extremely premature infants. (109)

Myo-inositol and ROP. A randomized clinical trial of myo-inositol did not show any reduction in severe ROP in extremely premature infants born at less than 28 weeks' gestation. (IIO)

Strategies to Reduce ROP with Some Evidence of Benefit Light and ROP. In an OIR rat model, rearing in the dark prevented vaso-obliteration of retinal vessels from hyperoxia, thus preventing the development of phase I ROP. (III) In phase 2 ROP, light adaptation reduced rod photoreceptor oxygen consumption by 50% in the rat model and led to less retinal hypoxia and downregulation of retinal VEGF. (II2) Gaynon et al routinely exposed the preterm infants at their center to ambient light to decrease retinal hypoxia in phase 2 ROP. (II3) However, there are no clinical trials of this practice.

Other interventions that could increase, decrease, or have no effect on the risk of ROP include EPO supplementation (47), red blood cell transfusions (114), propranolol therapy (115) and hyperglycemia (47).

SUMMARY

- The incidence of ROP in the United States shows an increasing trend.
- Severe ROP can increase the risk of visual and neurodevelopmental deficits in premature infants.
- Laser therapy and intravitreal injection of VEGF inhibitors are effective in most infants with severe ROP. However, both laser surgery and VEGF inhibitors have many side effects.
- 4. In the NeoPrOM trials, mortality was increased in infants with a low oxygen saturation range (85%–89%) and the incidence of ROP was increased in infants with a high oxygen saturation range (91%–95%).
- Recent studies have used increasing oxygen saturation targets, as premature infants mature, to mirror the pathogenesis of ROP and have not found an increase in mortality.
- 6. There is evidence that human milk, vitamin A, omega-3 fatty acids, and vitamin E can decrease the risk of ROP and are recommended in addition to adequate oxygen saturation monitoring.

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American Board of Pediatrics Neonatal-Perinatal Content Specifications

- Know the potential adverse effects of pharmacologic use of fat soluble vitamins.
- Know the differences between the composition of breast milk of the mother of a preterm infant and that of a term infant.
- Know the normal anatomy and ophthalmologic findings of the developing eye.

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